

UNITED STATES DISTRICT COURT 04-10122RWZ  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES COURTHOUSE  
500 PEARL STREET  
ROOM 520  
NEW YORK, NEW YORK 10007

DATE: MARCH 4, 2004.

CLERK,  
UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS  
1 COURTHOUSE WAY  
BOSTON, MA. 02210

RE: USA - V - RENALD JEAN-BAPTISTE

SDNY MAG. DOCKET # 04 MAG. 456

DEAR SIR/MADAM:


REFERRING TO THE ABOVE CAPTIONED MATTER, PLEASE BE ADVISED, FOR  
RECORD PURPOSES, THAT THIS MATTER HAS BEEN DISPOSED OF AS  
FOLLOWS:

- ( ) 1. DEFENDANT (UPON WAIVER OF HEARING) REMANDED TO  
THE U.S. MARSHAL FOR REMOVAL.
- (XXXXX) 2. DEFENDANT (UPON WAIVER OF HEARING) BAILED FOR  
HIS APPEARANCE IN YOUR DISTRICT. BOND ENCLOSED.
- ( ) 3. PROCEEDINGS DISMISSED INCIDENT TO TURNOVER OF  
DEFENDANT IN STATE EXTRADITION PROCEEDING.
- ( ) 4. COMPLAINT DISMISSED BY YOUR DISTRICT.

YOURS TRULY,

J. MICHAEL MCMAHON  
CLERK OF COURT

PLEASE ACKNOWLEDGE RECEIPT OF RULE 5(c)(3)  
DOCUMENTS ON COPY OF THIS LETTER.

BY   
DEPUTY CLERK

GILBERT QUAN

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES COURTHOUSE  
500 PEARL STREET  
ROOM 520  
NEW YORK, NEW YORK 10007

DATE: MARCH 4, 2004.

CLERK,  
UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS  
1 COURTHOUSE WAY  
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RE: USA - V - RENALD JEAN-BAPTISTE

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CLERK OF COURT

PLEASE ACKNOWLEDGE RECEIPT OF RULE 5(c)(3)  
DOCUMENTS ON COPY OF THIS LETTER.

BY   
DEPUTY CLERK

GILBERT QUAN

**U.S. District Court  
Southern District of New York (Foley Square)  
CRIMINAL DOCKET FOR CASE #: 1:04-mj-00456-ALL  
Internal Use Only**

**Case title:** USA v. Jean-Baptiste  
**Other court case number(s):** None  
**Magistrate judge case number(s):** None

**Date Filed:** 03/04/04

**Assigned to:**  
**Referred to:**

**Defendant(s)**  
\_\_\_\_\_

**Renald Jean-Baptiste (1)**

represented by **Sean Hecker**  
Federal Defender Division Legal Aid Society  
52 Duane Street  
New York, NY 10007  
212-417-8700  
Fax : 212-571-0392  
Email: sean\_hecker@fd.org  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Public Defender or Community  
Defender Appointment*

*aka*

**"Lance Steven Tirso Roxas" (1)**


**Pending Counts**  
\_\_\_\_\_

None

**Disposition**  
\_\_\_\_\_

**Highest Offense Level (Opening)**  
\_\_\_\_\_

None

**A TRUE COPY  
UNITED STATES MAGISTRATE  
FOR THE SOUTHERN DISTRICT OF N.Y.**  
  
**DEPUTY CLERK**

**Terminated Counts**  
\_\_\_\_\_

None

**Disposition**  
\_\_\_\_\_

**Highest Offense Level (Terminated)**  
\_\_\_\_\_

None

04 MAG 456

ORIGINAL

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

DOC # [REDACTED]

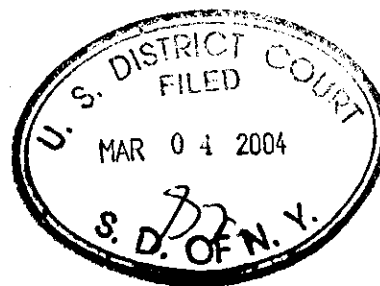
UNITED STATES OF AMERICA

- v. -

RENALD JEAN-BAPTISTE  
a/k/a "Lance Steven Tirso  
Roxas"

Defendant.

RULE 5(C)(3) AFFIDAVIT



SOUTHERN DISTRICT OF NEW YORK, ss.:

WILLIAM T. NOONE, being duly sworn, deposes and says that he is a Special Agent with the United States Department of State, Diplomatic Security, and charges as follows:

On or about February 19, 2004, the United States District Court for the District of Massachusetts issued a Warrant for the Arrest (the "Arrest Warrant") of "Renald Jean-Baptiste." The Arrest Warrant was issued based upon a Complaint charging "Renald Jean-Baptiste" with one count of making a false statement in an application for a United States passport in violation of Title 18, United States Code, Section 1542 ("the Complaint"). Copies of the Arrest Warrant and Complaint are attached hereto as Exhibits A and B respectively.

I believe that RENALD JEAN-BAPTISTE, the defendant, who was arrested on March 4, 2004 in the Southern District of New York, is the same individual as the "Renald Jean-Baptiste" who is wanted in the District of Massachusetts.

The bases for my knowledge and for the foregoing charge are, in part, as follows:

1. I am currently a Special Agent with the United States Department of State. This affidavit is based in part on my conversations with other persons and law enforcement officers, my review of reports and records, and my personal involvement in the investigation and arrest of RENALD JEAN-BAPTISTE, the defendant. Because this affidavit is being submitted for the limited purpose of establishing the identity of the defendant, I have not included in this Affidavit each and every fact that I

A TRUE COPY  
UNITED STATES MAGISTRATE  
FOR THE SOUTHERN DISTRICT OF N.Y.  
[Signature] DEPUTY CLERK

have learned relating to RENALD JEAN-BAPTISTE, the defendant. Where the contents of documents and the actions, statements, and conversations of others are reported herein, they are reported in substance and in part, unless otherwise indicated.

2. On or about January 14, 2004, I learned of a suspected fraud in which an unidentified African-American male had impersonated a "Lance Steven Tirso Roxas" on an application ("Application") for a United States passport. Another law enforcement official provided me with a copy of the Application (known as Form DS-11), which included the applicant's photograph and purported birth certificate.

3. Upon receiving this documentation, using the Auto Trax online database I ran the social security number of "Lance Steven Tirso Roxas" that had been obtained by the Passport Office. This check provided an address in Toms River, New Jersey as the address for "Lance Steven Tirso Roxas."

4. On or about January 15, 2004, I visited this location in Toms River, New Jersey and interviewed a Caucasian female who identified herself as the mother of "Lance Steven Tirso Roxas." This individual provided me with a cellular phone number for "Lance Steven Tirso Roxas."

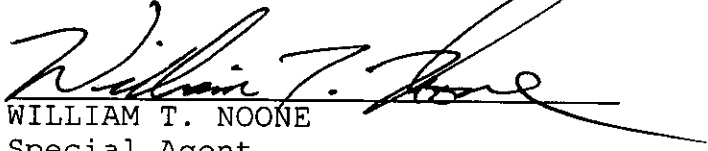
5. On or about January 16, 2004, I interviewed "Lance Steven Tirso Roxas," who is Caucasian, and he provided me with a phone number for "Renald Jean-Baptiste."

6. On or about January 16, 2004, I called this phone number for "Renald Jean-Baptiste." When I asked the individual who answered the phone to speak to "Renald Jean-Baptiste," this individual stated that he was "Renald Jean-Baptiste." Later that day, I interviewed "Renald Jean-Baptiste" in person, at which time he identified himself as "Renald Jean-Baptiste" and admitted that he had submitted the Application with a photograph of himself. He further admitted that his actual name is "Renald Jean-Baptiste," born June 9, 1973 in Haiti. Once he removed his hat, I immediately recognized this individual as the same person in the photograph provided with the Application.


7. On March 4, 2004, after being read his Miranda rights, RENALD JEAN-BAPTISTE again admitted that his actual name is "Renald Jean-Baptiste" and that he had submitted the Application with a photograph of himself.

For all the foregoing reasons, I believe that RENALD JEAN-BAPTISTE, the defendant, is "Renald Jean-Baptiste," the subject of the Arrest Warrant.

WHEREFORE, deponent prays that RENALD JEAN-BAPTISTE, the defendant, be imprisoned or bailed as the case may be.

  
WILLIAM T. NOONE  
Special Agent  
United States Department of State

Sworn to before me this  
4th day of March, 2004.

  
HONORABLE RONALD L. ELLIS  
UNITED STATES MAGISTRATE JUDGE  
SOUTHERN DISTRICT OF NEW YORK

AO 91 (Rev. 5/85) Criminal Complaint

# United States District Court

DISTRICT OF MASSACHUSETTS

UNITED STATES OF AMERICA

V.

RENALD JEAN-BAPTISTE

## CRIMINAL COMPLAINT

CASE NUMBER: 04-1654-CBS

(Name and Address of Defendant)

I, the undersigned complainant being duly sworn state the following is true and correct to the best of my knowledge and belief. On or about March 4, 2002 In Middlesex county, in the District of Massachusetts defendant did, (Track Statutory Language of Offense, willfully and knowingly make a false statement in an application for a passport with the intent to induce or secure the issuance of a U.S. passport, either for his own use or the use of another,

in violation of Title 18 United States Code, Section 1542

I further state that I am a Special Agent, DSS and that this complaint is based on the following facts:

See attached affidavit of DSS Special Agent Herman L. Arnold

Continued on the attached sheet and made a part hereof:

☒ Yes

☐ No

*Herman L. Arnold*  
Signature of Complainant

Sworn to before me and subscribed in my presence.

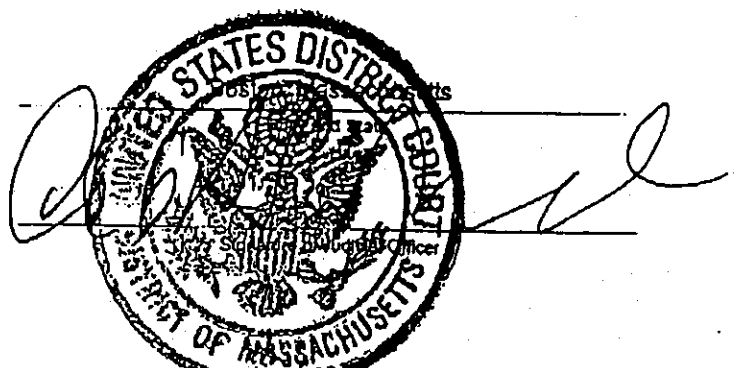
02-19-2004

Date

at

CHARLES B. SWARTWOOD III  
UNITED STATES MAGISTRATE JUDGE

Name & Title of Judicial Officer



AFFIDAVIT

I, Herman L. Arnold, having been duly sworn, hereby depose and state as follows:

1. I am a Special Agent of the United States Department of State, Diplomatic Security Service ("DSS"), and have been so employed since August, 2002. As part of my official duties, I am assigned to investigate violations of matters relating to the U.S. Department of State, including passport and visa fraud, illegal, improper use and possession of identification documents and false statements made to illegally obtain passports and visas.

2. The information set forth in this affidavit is based upon my investigation as well as information provided by the Boston Passport Agency, and other public agencies. In submitting this affidavit, however, I have not included each and every fact known to me about the investigation, but only those facts that I believe are sufficient to establish probable cause in support of a criminal charging, Renald JEAN-BAPTISTE with making false statements in an application for a United States passport, in violation of Title 18, United States Code, Section 1542.

3. On March 4, 2002, a person using the identity of Lance Steven Tirso Roxas submitted an application ("DS-11") for a United States passport at the U.S. Post Office located in Cambridge, MA. A copy of the passport application is appended hereto as Exhibit A. In support of the application, the person



provided a birth certificate in the name of Lance Steven Tirso Roxas from the Board of Health and Vital Statistics of Hudson County, Jersey City, New Jersey, reflecting that the person was born on May 9, 1971, in Jersey City, New Jersey. A Copy of the birth certificate is appended hereto as Exhibit B. The person signed the ROXAS application in the presence of an authorized passport acceptance clerk, attesting that all of the information contained therein was true. Also, as required, the person submitted two copies of a photograph of himself. One copy of the photograph was attached to the application at the time of its submission.

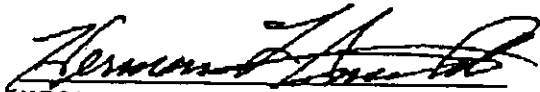
4. On January 14, 2004, Diplomatic Security Service Special Agent William T. Noone of the New York Field Office of the Diplomatic Security Service interviewed the person who submitted the application in the name of Lance Steven Tirso Roxas. He admitted that he is not Lance Steven Tirso Roxas, and that his true identity is Renald JEAN-BAPTISTE. He further admitted that he is a citizen of Haiti by virtue of birth, having been born in Port-au-Prince, Haiti, on June 9, 1973. A copy of JEAN-BAPTISTE'S sworn statement is appended hereto as Exhibit C. JEAN-BAPTISTE then voluntarily provided his fingerprints to the affiant for identification purposes.

5. On January 14, 2004, the true Lance Steven Tirso Roxas was interviewed by Diplomatic Security Service Special Agent

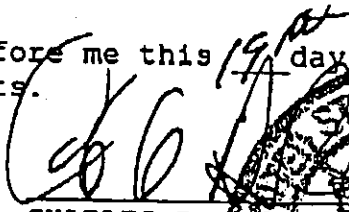

William T. Noone of the New York Field Office of the Diplomatic Security Service, and he admitted that he supplied Renald JEAN-BAPTISTE with identification documents for the purpose of aiding JEAN-BAPTISTE in obtaining a U.S. Passport, knowing JEAN-BAPTISTE was not entitled to a U.S. Passport. A Copy of Lance Steven Tirso Roxas's sworn statement is appended hereto as Exhibit D.

6. Based on the foregoing, I have probable cause to believe that Renald JEAN-BAPTISTE did willfully and knowingly make a false statement on an application for a United States passport with the intent to induce or secure the issuance of a passport under the authority of the United States for his own use, in violation of Title 18, United States Code, Section 1542.

I certify that the foregoing is true and correct. Executed at Boston, Massachusetts, this 19<sup>th</sup> day of February, 2004.

  
HERMAN L. ARNOLD  
Special Agent  
U.S. Department of State,  
Diplomatic Security Service

Subscribed and sworn before me this 19<sup>th</sup> day of February, 2004, in Boston, Massachusetts.

  
CHARLES B. SWARTWOOD  
United States District Judge  


AO 442 (Rev. 5/93) Warrant for Arrest

# United States District Court

DISTRICT OF MASSACHUSETTS

UNITED STATES OF AMERICA

## WARRANT FOR ARREST

V.  
RENALD JEAN-BAPTISTE

CASE NUMBER: 04-1654-CR

To: The United States Marshal  
and any Authorized United States Officer

YOU ARE HEREBY COMMANDED to arrest RENALD JEAN-BAPTISTE

Name

and bring him ~~over~~ forthwith to the nearest magistrate judge to answer a(n)

☐ Indictment ☐ Information ☒ Complaint ☐ Order of court ☐ Violation Notice ☐ Probation Violation  
Petition

charging him ~~under~~ with (prior description of offense)  
making a false statement on a passport application

in violation of  
Title

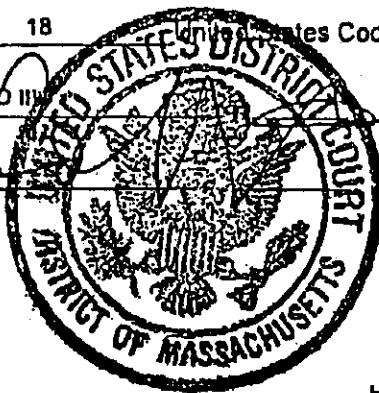
18

United States Code, Section(s) 1542

CHARLES B. SWARTWOOD III

Name of Issuing Officer

Signature of Issuing Officer



UNITED STATES MAGISTRATE JUDGE

Title of Issuing Officer

2-19-04 C. Boston, MA  
Date and Location

Bail fixed at \$

by

Name of Judicial Officer

### RETURN

This warrant was received and executed with the arrest of the above-named defendant at

DATE RECEIVED

NAME AND TITLE OF ARRESTING OFFICER

SIGNATURE OF ARRESTING OFFICER

DATE OF ARREST

AUSA

# FINANCIAL AFFIDAVIT

IN SUPPORT OF REQUEST FOR ATTORNEY FEE OR FOR OTHER COURT SERVICES WITH NO PAYMENT OF FEE

IN UNITED STATES ☐ MAGISTRATE ☐ DISTRICT ☐ APPEALS COURT or ☐ OTHER PANEL (Specify below)

IN THE CASE OF

United States v.s. Ronald Jean-Baptiste

FOR DOC #  
AT 2

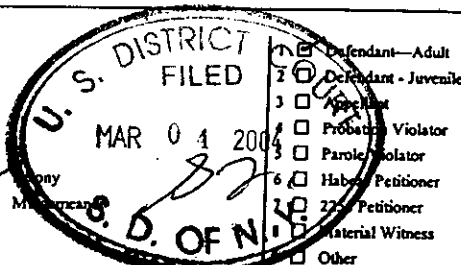
LOCATION NUMBER

PERSON REPRESENTED (Show your full name)

Mr. Jean-Baptiste

CHARGE/OFFENSE (describe if applicable & check box →)

Rules (c)(3); Passport Fraud



DOCKET NUMBERS	
Magistrate	<u>04 Mag 456</u>
District Court	
Court of Appeals	

## ANSWERS TO QUESTIONS REGARDING ABILITY TO PAY

EMPLOYMENT	Are you now employed? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Am Self-Employed
	Name and address of employer: _____
	IF YES, how much do you earn per month? \$ _____ IF NO, give month and year of last employment _____ How much did you earn per month? \$ _____
OTHER INCOME	If married is your Spouse employed? <input type="checkbox"/> Yes <input type="checkbox"/> No
	IF YES, how much does your Spouse earn per month? \$ <u>N/A</u> If a minor under age 21, what is your Parents or Guardian's approximate monthly income? \$ _____
	Have you received within the past 12 months any income from a business, profession or other form of self-employment, or in the form of the form of rent payments, interest, dividends, retirement or annuity payments, or other sources? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
CASH	IF YES, GIVE THE AMOUNT RECEIVED & IDENTIFY THE SOURCES <u>RECEIVED 300/mo</u> <u>Dancing in clubs</u>
	Have you any cash on hand or money in savings or checking accounts? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No IF YES, state total amount \$ _____
	Do you own any real estate, stocks, bonds, notes, automobiles, or other valuable property (excluding ordinary household furnishings and clothing)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
PROPERTY	IF YES, GIVE THE VALUE AND DESCRIBE IT
	VALUE _____ DESCRIPTION _____
	_____

DEPENDENTS	MARITAL STATUS	Total No. of Dependents	List persons you actually support and your relationship to them
<input checked="" type="checkbox"/> SINGLE			
<input type="checkbox"/> MARRIED			
<input type="checkbox"/> WIDOWED			
<input type="checkbox"/> SEPARATED OR DIVORCED			

### OBLIGATIONS & DEBTS

DEBTS & MONTHLY BILLS (LIST ALL CREDITORS, INCLUDING BANKS, LOAN COMPANIES, CREDIT ACCOUNTS, ETC.)	APARTMENT OR HOME:	Creditors	Total Debt	Monthly Paymt.
		<u>Rent</u>		
		<u>Landlord</u>	<u>\$5000</u>	<u>1140</u>
		<u>Check</u>		
		<u>due</u>		

I certify under penalty of perjury that the foregoing is true and correct. Executed on (date)

3/4/04

SIGNATURE OF DEFENDANT (OR PERSON REPRESENTED)

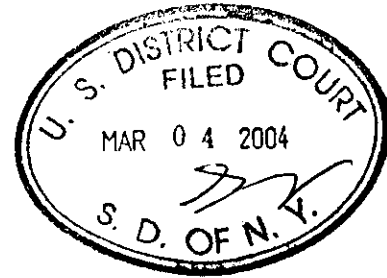
Ronald Jean-Baptiste

A TRUE COPY  
UNITED STATES MAGISTRATE  
FOR THE SOUTHERN DISTRICT OF N.Y.  
DEPUTY CLERK

IH-14

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

UNITED STATES OF AMERICA  
against  
RENALD JEAN-BAPTISTE  
(Alias) \_\_\_\_\_  
\_\_\_\_\_  
Please PRINT Clearly



04-456  
DOCKET NO. ASSIGNED  
JUDGE OR MAGISTRATE JUDGE

**NOTICE OF  
APPEARANCE**

TO: **CLERK OF COURT S.D.N.Y.**

SIR: YOU ARE HEREBY NOTIFIED THAT I APPEAR FOR THE DEFENDANT INDICATED ABOVE IN THE ENTITLED ACTION.

I AM APPEARING IN THIS ACTION AS (Please check one)

1. ☐ CJA 2. ☐ RETAINED 3. ☒ PUBLIC DEFENDER (Legal Aid)

ADMITTED TO PRACTICE IN THIS COURT ☐ NO ☒ YES - IF YES GIVE YOUR DATE OF  
ADMISSION. MO. November YR. 2000

I DO HEREBY CERTIFY THAT I HAVE FILED OR WILL FILE A CERTIFICATE OF GOOD STANDING FROM THE \_\_\_\_\_ STATE COURT, PURSUANT TO CRIMINAL RULE 1 OF THE LOCAL RULES FOR THE SOUTHERN AND EASTERN DISTRICTS OF NEW YORK.

DATED: NEW YORK, NEW YORK

3/4/04

**A TRUE COPY  
UNITED STATES MAGISTRATE  
FOR THE SOUTHERN DISTRICT OF N.Y.**  
[Signature] DEPUTY CLERK

SIGNATURE Sean Hecker  
PRINT THE FOLLOWING INFORMATION CLEARLY

SEAN HECKER  
Attorney for Defendant

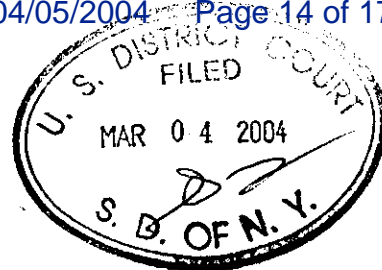
FEDERAL DEFENDER  
Firm name if any

52 DUANE ST.  
Street address

New York NY 10007  
City State Zip  
(212) 417-8737  
Telephone No

JH-11

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK



UNITED STATES OF AMERICA :

ORDER APPOINTING COUNSEL  
(Federal Defender Services Unit)

RENALD -v- JOAN - Baptiste:

Docket # 04-456

Defendant. :

300 #4

----- X

Because the above named defendant has testified under oath or otherwise satisfied this Court that he or she: (1) is financially unable to employ counsel, and (2) does not wish to waive counsel, and because the interest of justice so require, the FEDERAL DEFENDER SERVICES UNIT of the Legal Aid Society is hereby appointed to represent the defendant in the above designated case for the following purpose:

(Check one) ☒ all proceedings

☐ bail/presentment only

☐ other (specify)

SEAN Harker

If the case proceeds to the U.S. District Court, the appointment shall remain in effect until terminated or a substitute attorney is appointed.

Date 3/4/04

(s/ Ronald Z. Ellen)

Signature of U.S. Judge or Magistrate Judge  
or by order of the Court:

[Signature]

Clerk or Deputy

TO: J. MICHAEL McMAHON, CLERK  
United States District Court  
Southern District of New York

Federal Defender Services Unit  
The Legal Aid Society  
52 Duane Street, 10th Floor  
New York, New York 10007

A TRUE COPY  
UNITED STATES MAGISTRATE  
FOR THE SOUTHERN DISTRICT OF N.Y.  
[Signature] DEPUTY CLERK

Copy 1 - Retain in Magistrate Judge File  
Copy 2 - To Federal Defender Services Unit  
Copy 3 - To U.S.D.C. Clerk's Office (Attn: C.J.A. Clerk)

## DISPOSITION SHEET

Nick Goldin  
AUSA

DATE OF ARREST 3-4-04 ☐ VOL. SURRENDER  
TIME OF ARREST 7 am ☐ ON WRIT

MAGISTRATE'S  
DOCKET NUMBER 04 MAG 456

TIME OF PRESENTMENT 3:33 pm

PROCEEDING: ☐ Rule 5 ☐ Rule 9 ☐ Rule 40 ☐ Detention Hearing ☒ Other: R5(C)(3)

☐ INTERPRETER NEEDED LANGUAGE: (77)

DEFENDANT'S NAME:

RENALD JEAN-BAPTISTE

COUNSEL'S NAME:

SEAN HECKER

☐ RETAINED ☒ LEGAL AID ☐ CJA

## BAIL DISPOSITION

- ☐ DETENTION ☐ ON CONSENT W/O PREJUDICE ☐ SEE DETENTION ORDER  
☐ DETENTION HEARING SCHEDULED AT DEFENDANT'S REQUEST FOR \_\_\_\_\_  
☒ AGREED BAIL PACKAGE  
☒ \$ 20,000 PRB  
☒ \_\_\_\_\_ FRP  
☐ \$ \_\_\_\_\_ CASH/PROPERTY: \_\_\_\_\_  
☒ TRAVEL RESTRICTED TO SDNY/EDNY/ Between BOS - NY  
☒ SURRENDER TRAVEL DOCUMENTS (& NO NEW APPLICATIONS)  
☐ REGULAR PRETRIAL ☐ STRICT PRETRIAL ☐ DRUG TESTING/TREATMENT  
☐ HOME INCARCERATION ☐ HOME DETENTION ☐ CURFEW ☐ ELECTRONIC MONITORING:  
 CONDITIONS: \_\_\_\_\_  
☐ DEFENDANT TO BE RELEASED UPON FOLLOWING CONDITIONS:  
SIGN BOND

REMAINING CONDITIONS TO BE MET BY 3/8/04

☐ OTHER:

May go to Texas and return on 3/9 if  
Co-signer signs before departure.  
Report to Mass. as required

FOR RULE 40 CASES:

☐ ID HEARING WAIVED

☐ PRELIMINARY HEARING WAIVED

☐ DEFENDANT TO BE REMOVED

☐ ON DEFENDANT'S CONSENT

A TRUE COPY  
UNITED STATES MAGISTRATE  
FOR THE SOUTHERN DISTRICT OF N.Y.  
[Signature] DEPUTY CLERK

DATE FOR PRELIMINARY HEARING 3/25 Control Date

☐ ON DEFENDANT'S CONSENT

COMMENTS AND ADDITIONAL PROCEEDINGS:

DATE

3/4/04

Ronald EB

UNITED STATES MAGISTRATE JUDGE  
SOUTHERN DISTRICT OF NEW YORK



AO 98 (Rev. 8/85)

DOC # 5

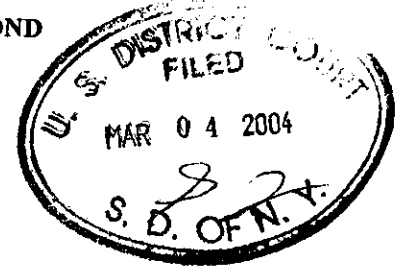
United States District Court  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA  
V.

APPEARANCE BOND  
CASE NUMBER

RENALD JEAN-BAPTISTE a/k/a "Lance Steven Tirso Roxas"  
Defendant

04 MAG. 456



Non-surety: I, the undersigned defendant acknowledge that I and my...  
Surety: We, the undersigned, jointly and severally acknowledge that we and our...  
personal representatives, jointly and severally, are bound to pay to the United States of America the sum of

\$ 20,000, and there has been deposited in the Registry of the Court the sum of  
\$ XXXXXXXXXXXXXXXXXXXXXXX in cash or XXXXXXXXXXXXXXXXXXXXXXX  
Describe other security

The conditions of this bond are that the defendant

RENALD JEAN-BAPTISTE a/k/a "Lance Steven Tirso  
Roxas"  
Name

is to appear before this court and at such other places as the defendant may be required to appear, in accordance with any and all orders and directions relating to the defendant's appearance in this case, including appearance for violation of a condition of defendant's release as may be ordered or notified by this court or any other United States district court to which the defendant may be held to answer or the cause transferred. The defendant is to abide by any judgment entered in such a manner by surrendering to serve any sentence imposed and obeying any order or direction in connection with such judgement.

It is agreed and understood that this is a continuing bond (including any proceeding on appeal or review) which shall continue until such time as the undersigned are exonerated.

If the defendant appears as ordered or notified and otherwise obeys and performs the foregoing conditions of this bond, then this bond is to be void, but if the defendant fails to obey or perform any of these conditions, payment of the amount of this bond shall be due forthwith. Forfeiture of this bond for any breach of its conditions may be declared by any United States district court having cognizance of the above entitled matter at the time of such breach and if the bond is forfeited and if the forfeiture is not set aside or remitted, judgment may be entered upon motion in such United States district court against each debtor jointly and severally for the amount above stated, together with interest and costs, and execution may be issued and payment secured as provided by the Federal Rules of Criminal Procedure and any other laws of the United States.

This bond is signed on March 4, 2004 at U.S. Courthouse, 500 Pearl Street, New York, N.Y. 10007

Defendant Renald Jean-Baptiste  
RENALD JEAN-BAPTISTE

Address

414 W 36 St 2c

Surety Terrence Farley  
TERRENCE FARLEY

Address

200 E. 36 St. 146

Surety \_\_\_\_\_

Address

NY, NY 10016

Signed and acknowledged before me on

March 4, 2004

Date

Approved:

Nick Goldin  
Judicial Officer

AUSA: NICK GOLDIN

William A. B. Co. Signer  
Judicial Officer/Clerk  
A TRUE COPY  
UNITED STATES MAGISTRATE  
FOR THE SOUTHERN DISTRICT OF N.Y.  
DEPUTY CLERK  
08/97 B. Kalishok U.S.D.C.-S.D.N.Y.



Advice of Penalties and Sanctions

04 MAG. 456

TO THE DEFENDANT: **RENALD JEAN-BAPTISTE #110** a/k/a "Lance Steven Tirso Roxas"

YOU ARE ADVISED OF THE FOLLOWING PENALTIES AND SANCTIONS:

**MARCH 4, 2004.**

A violation of any of the foregoing conditions of release may result in the immediate issuance of a warrant for your arrest, a revocation of release, an order of detention, and a prosecution for contempt of court and could result in a term of imprisonment, a fine, or both.

The commission of a Federal offense while on pretrial release will result in an additional sentence of a term of imprisonment of not more than ten years, if the offense is a felony; or a term of imprisonment of not more than one year, if the offense is a misdemeanor. This sentence shall be in addition to any other sentence.

Federal law makes it a crime punishable by up to 10 years of imprisonment, and a \$250,000 fine or both to obstruct a criminal investigation. It is a crime punishable by up to ten years of imprisonment, and a \$250,000 fine or both to tamper with a witness, victim or informant; to retaliate or attempt to retaliate against a witness, victim or informant; or to intimidate or attempt to intimidate a witness, victim, juror, informant, or officer of the court. The penalties for tampering, retaliation, or intimidation are significantly more serious if they involve a killing or attempted killing.

If after release, you knowingly fail to appear as required by the conditions of release, or to surrender for the service of sentence, you may be prosecuted for failing to appear or surrender and additional punishment may be imposed. If you are convicted of:

- (1) an offense punishable by death, life imprisonment, or imprisonment for a term of fifteen years or more, you shall be fined not more than \$250,000 or imprisoned for not more than 10 years, or both;
- (2) an offense punishable by imprisonment for a term of five years or more, but less than fifteen years, you shall be fined not more than \$250,000 or imprisoned for not more than five years, or both;
- (3) any other felony, you shall be fined not more than \$250,000 or imprisoned not more than two years, or both;
- (4) a misdemeanor, you shall be fined not more than \$100,000 or imprisoned not more than one year, or both.

A term of imprisonment imposed for failure to appear or surrender shall be in addition to the sentence for any other offense. In addition, a failure to appear or surrender may result in the forfeiture of any bond posted.

**Acknowledgment of Defendant**

I acknowledge that I am the defendant in this case and that I am aware of the conditions of release. I promise to obey all conditions of release, to appear as directed, and to surrender for service of any sentence imposed. I am aware of the penalties and sanctions set forth above.

**A TRUE COPY**  
**UNITED STATES MAGISTRATE**  
**FOR THE SOUTHERN DISTRICT OF N.Y.**  
[Signature] **DEPUTY CLERK**

Renald Jean Baptiste  
 Signature of Defendant  
414 W 36 St Apt 2C  
 Address

**DEFENDANT RELEASED**

Ny Ny 10018 212-594-8742  
 City and State Telephone

